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(54) **DIFFRACTION GRATING WITH REDUCED POLARIZATION-DEPENDENT LOSS**

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(51) **Int. Cl.**  
**G02B 5/18** (2006.01)

(52) **U.S. Cl.** ..... **359/571; 359/572**

(58) **Field of Classification Search** ..... **359/571, 359/572, 569**

See application file for complete search history.

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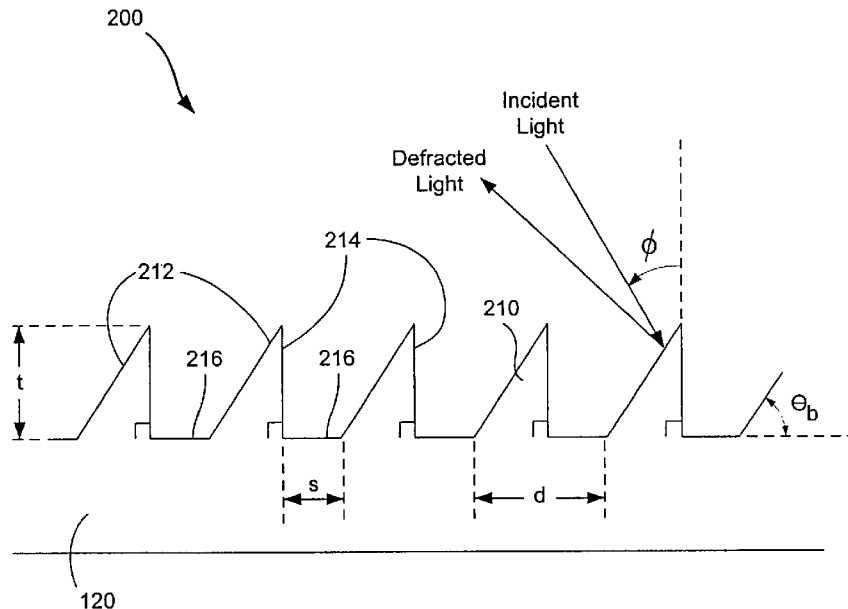
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(57) **ABSTRACT**

A diffraction grating that achieves high diffraction efficiency at all polarizations for optical signals at telecommunications wavelengths is provided. The diffraction grating has a substrate and a plurality of reflective faces oriented at respective blaze angles  $\theta_b$ , spaced along the substrate surface, with the blaze angles substantially differ from the Littrow condition. Each reflective surface is supported by a support wall connected substantially with the substrate surface.

**14 Claims, 13 Drawing Sheets**



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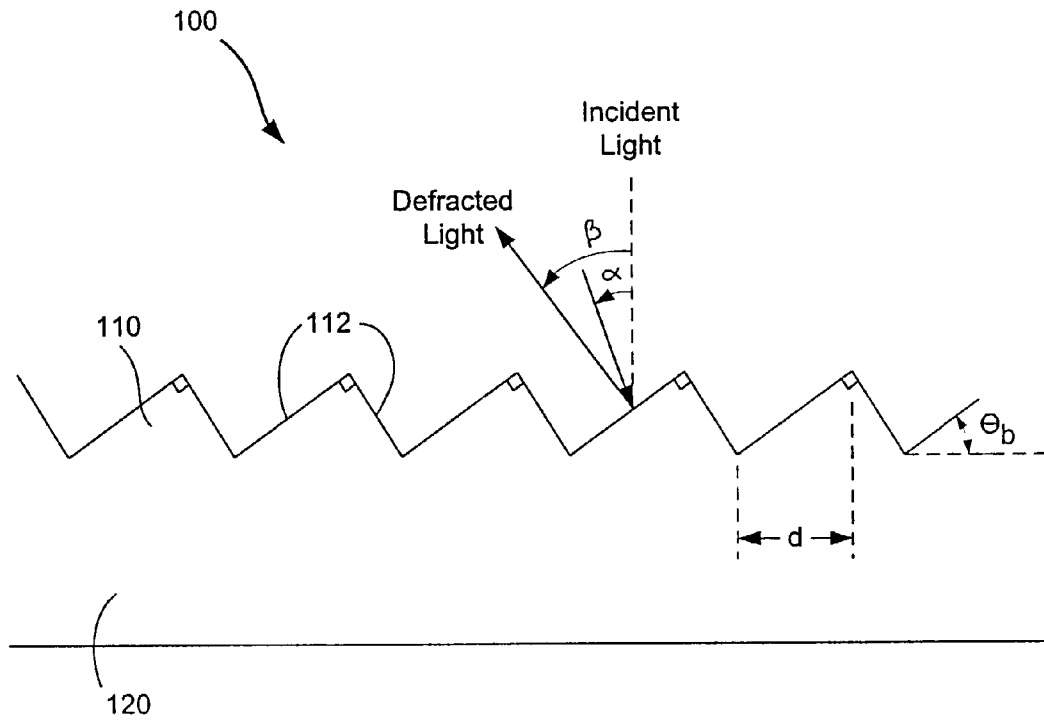


FIG. 1(a)  
Prior Art

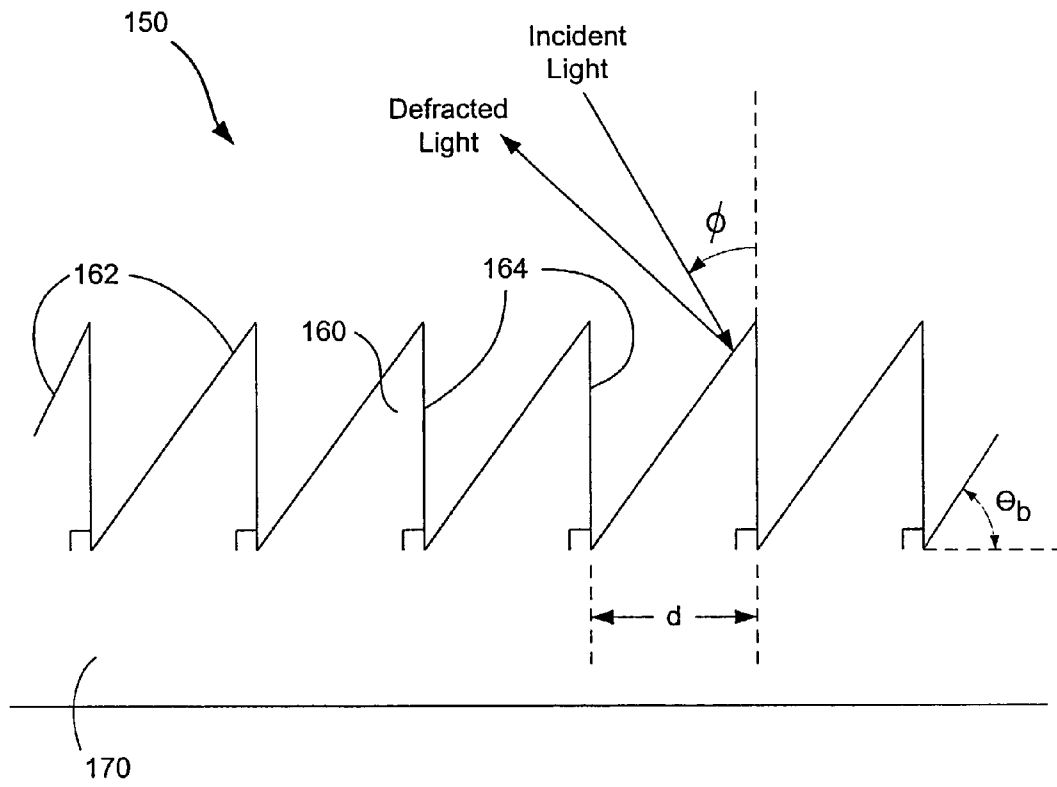


FIG. 1(b)

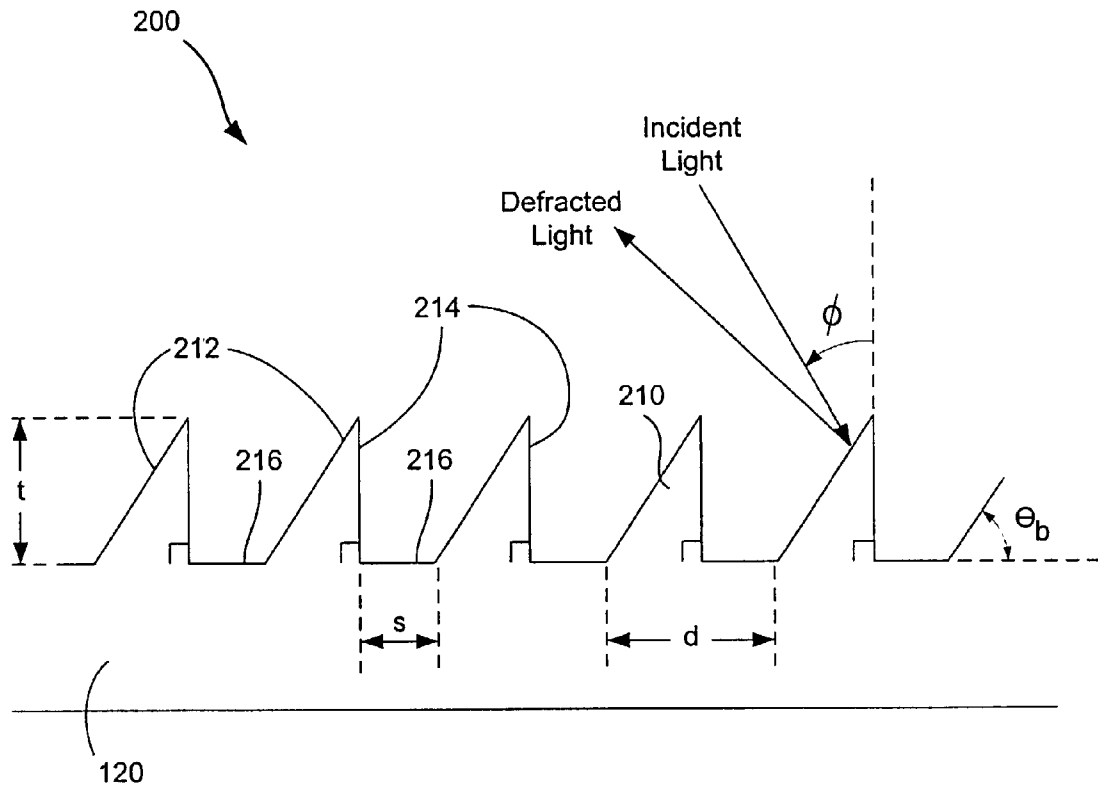


FIG. 1(c)

Full Sawtooth Grating  
Blaze angle = 54.0, Groove height = 1530 nm  
900 grooves/mm, Inc. angle = 45

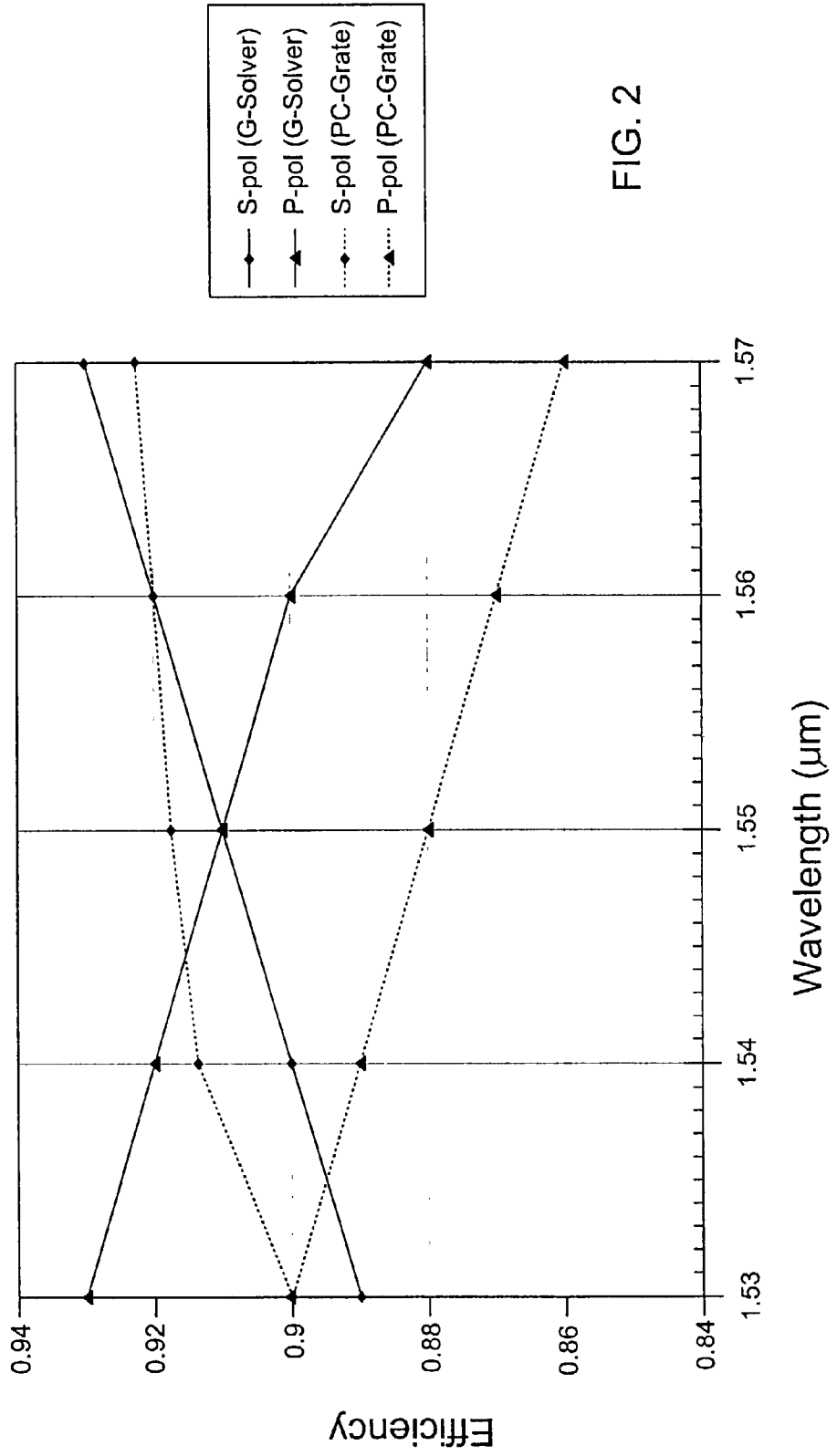


FIG. 2

Truncated Sawtooth Grating  
Blaze angle = 55.8, Groove height = 1310 nm  
900 grooves/mm, Inc. angle = 45

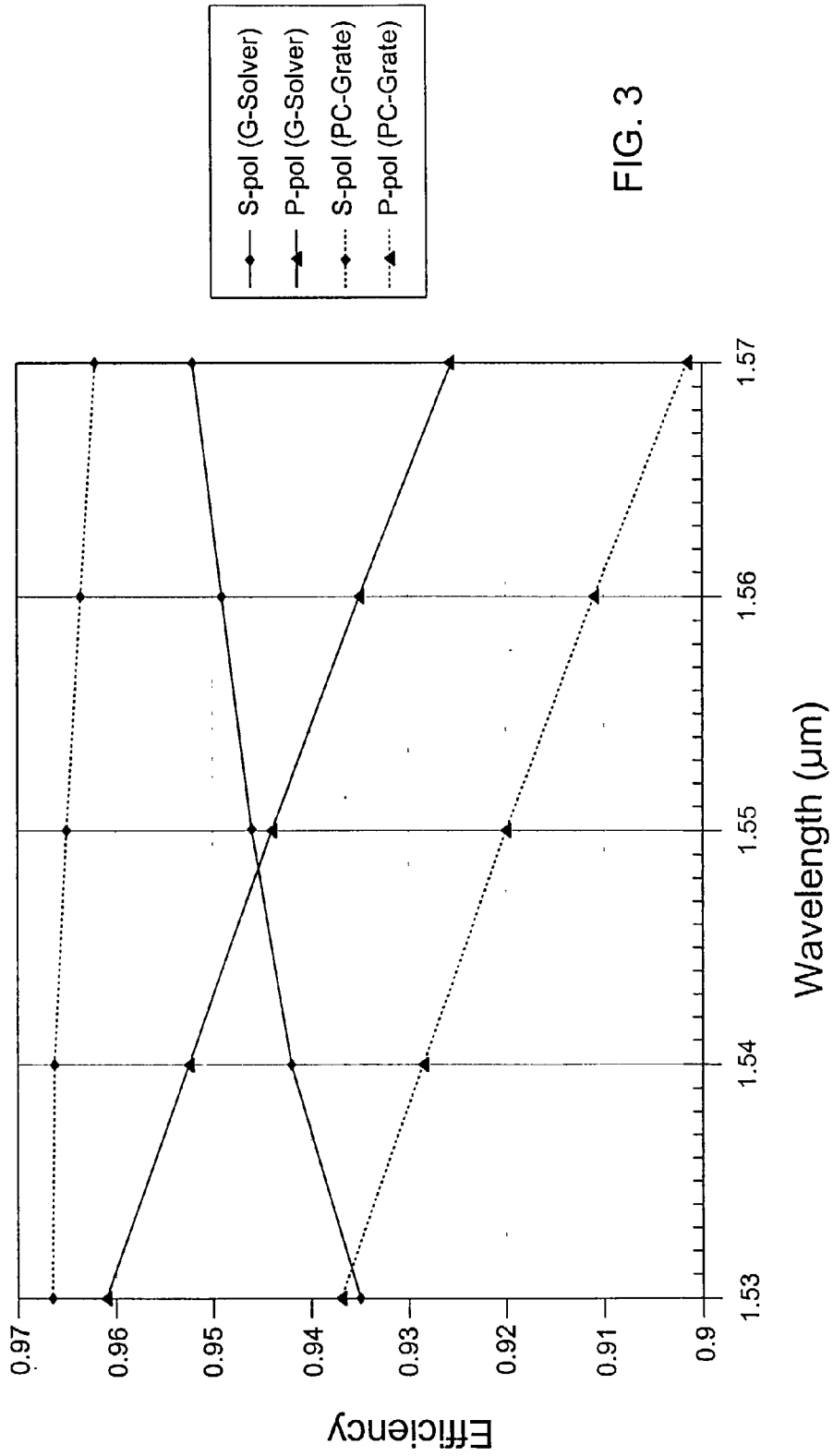


FIG. 3

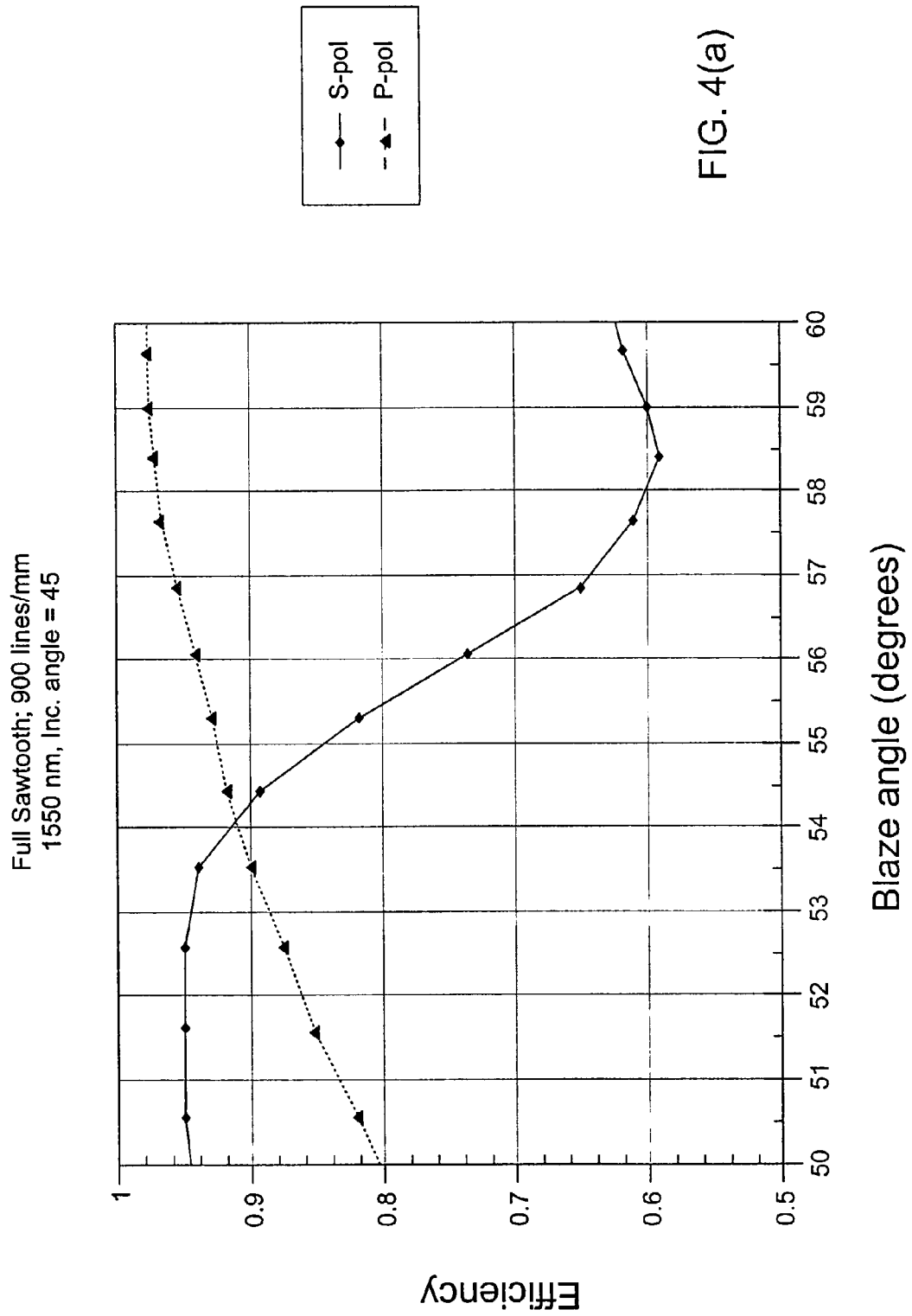


FIG. 4(a)



Truncated Sawtooth Grating  
Fractional Groove Depth = 0.80  
1550 nm; Inc. angle = 45; 900 lines/mm

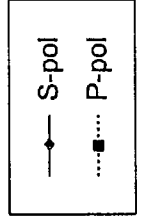
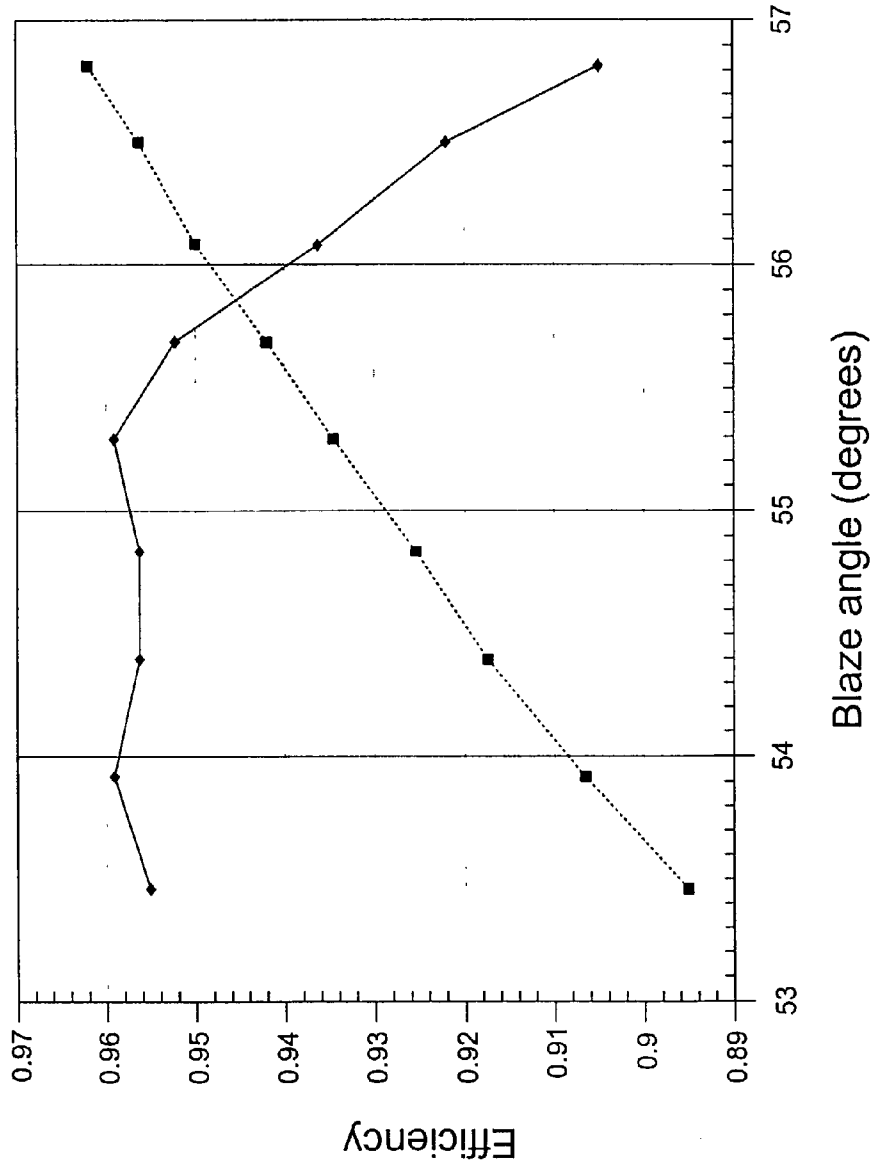


FIG. 4(b)

Full Sawtooth Grating  
Optimal blaze angle as a function of groove density.  
1550 nm, Inc. angle = Littrow condition.

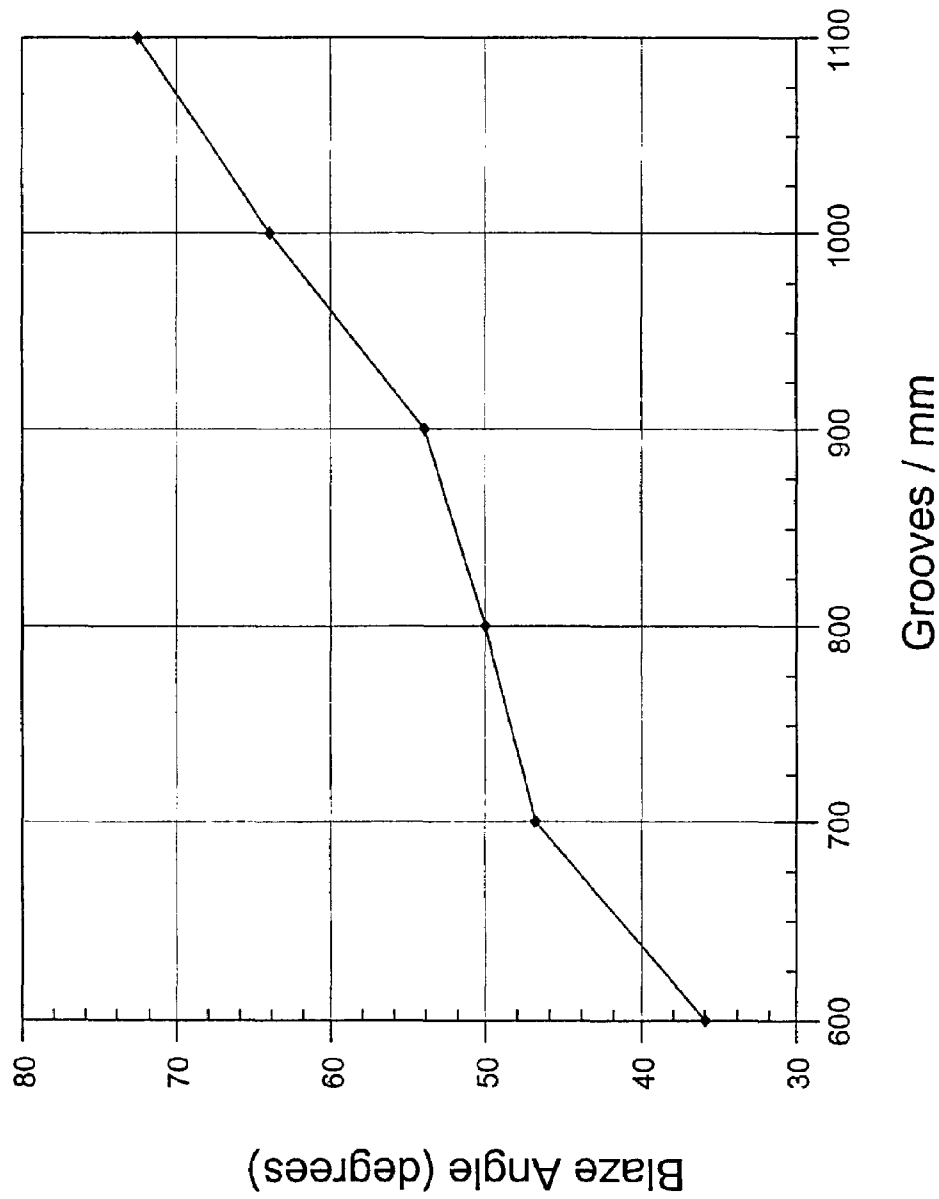


FIG. 5

Truncated Sawtooth Grating  
1550 nm; 900 lines/mm

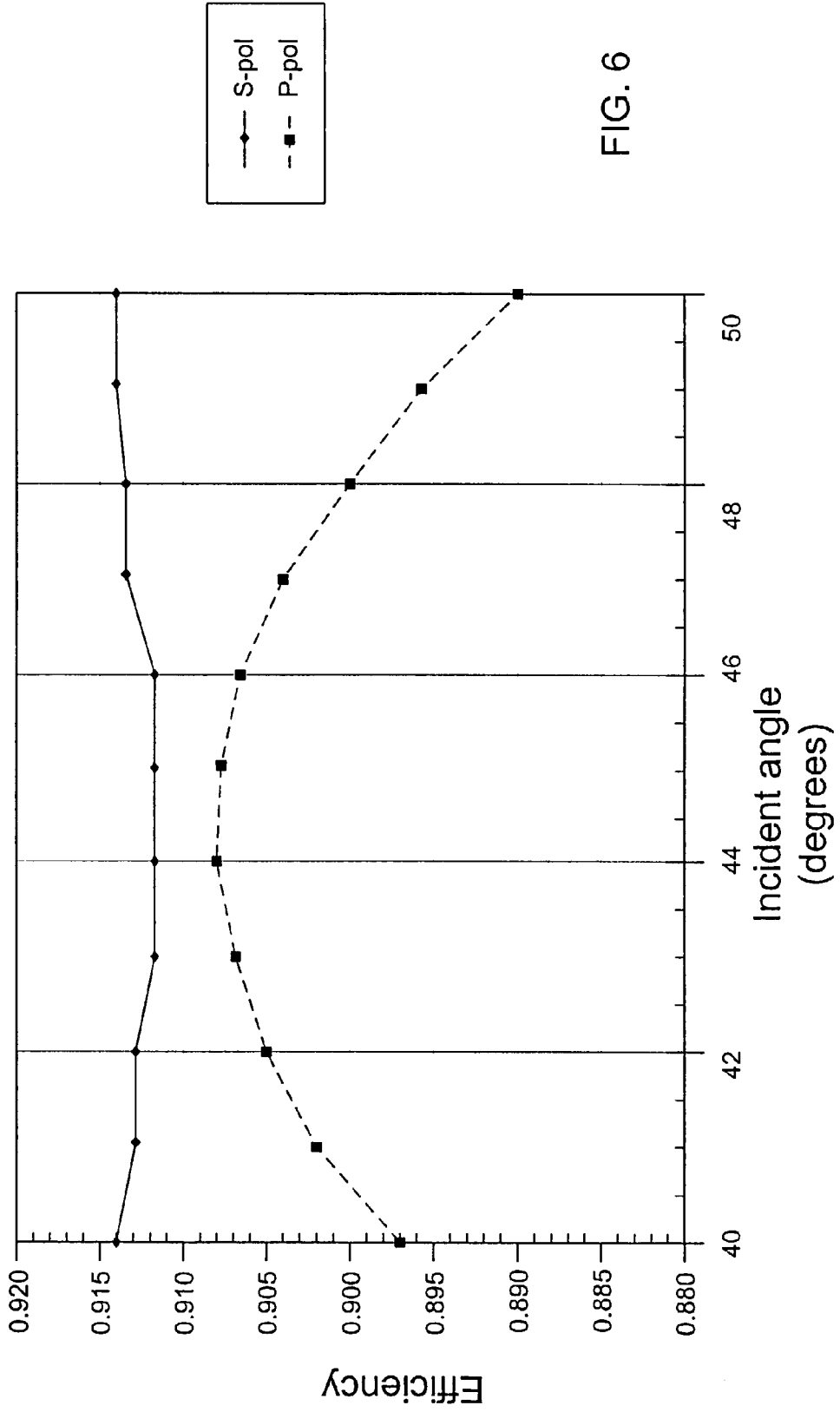


FIG. 6

Truncated Sawtooth Grating  
S Polarization  
Blaze angle = 55.8, Inc. angle = 45  
Calculated on PC-Grate

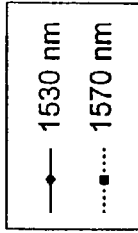
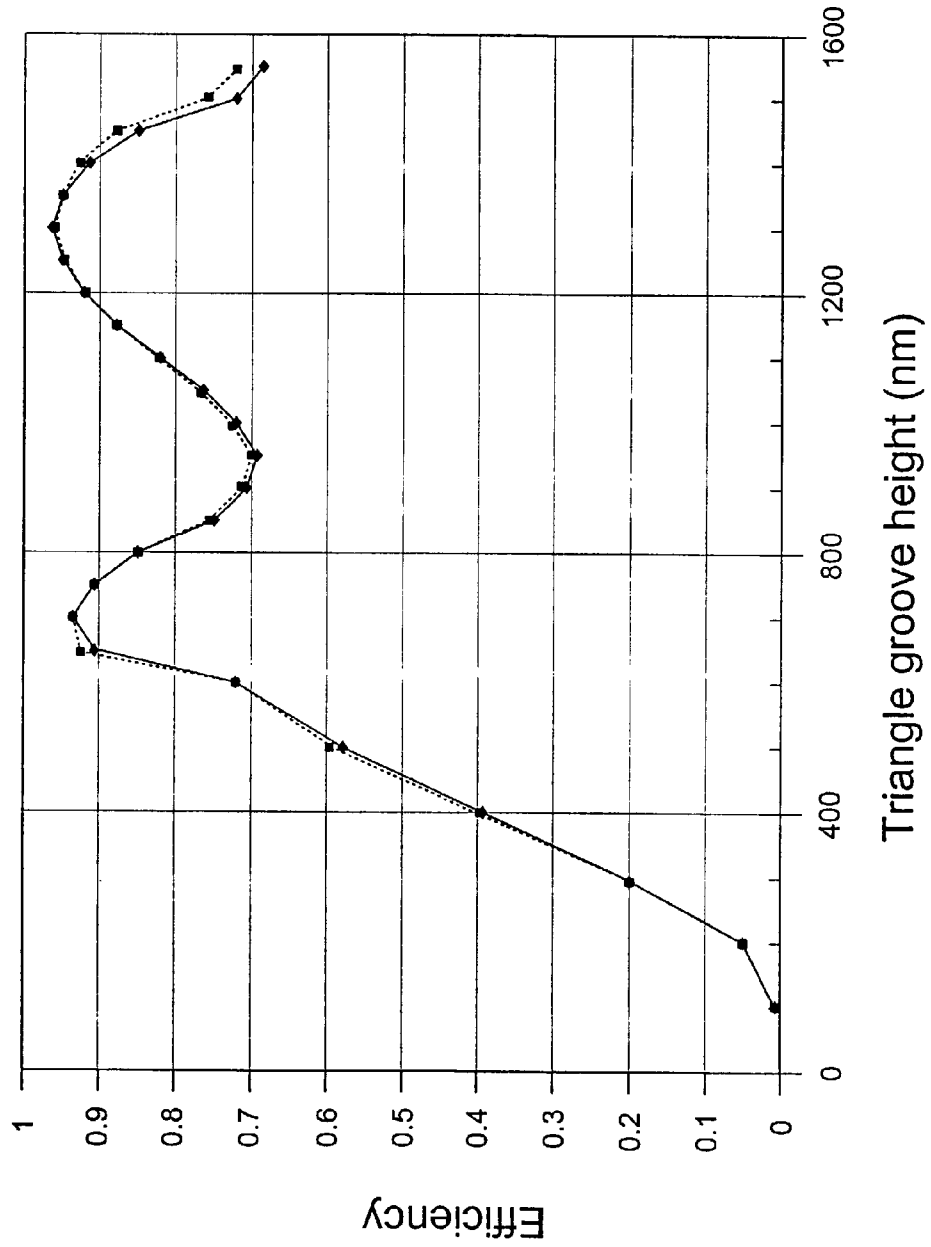


FIG. 7(a)

Truncated Sawtooth Grating  
P Polarization  
Blaze angle = 55.8, Inc. angle = 45  
Calculated on PC-Grate

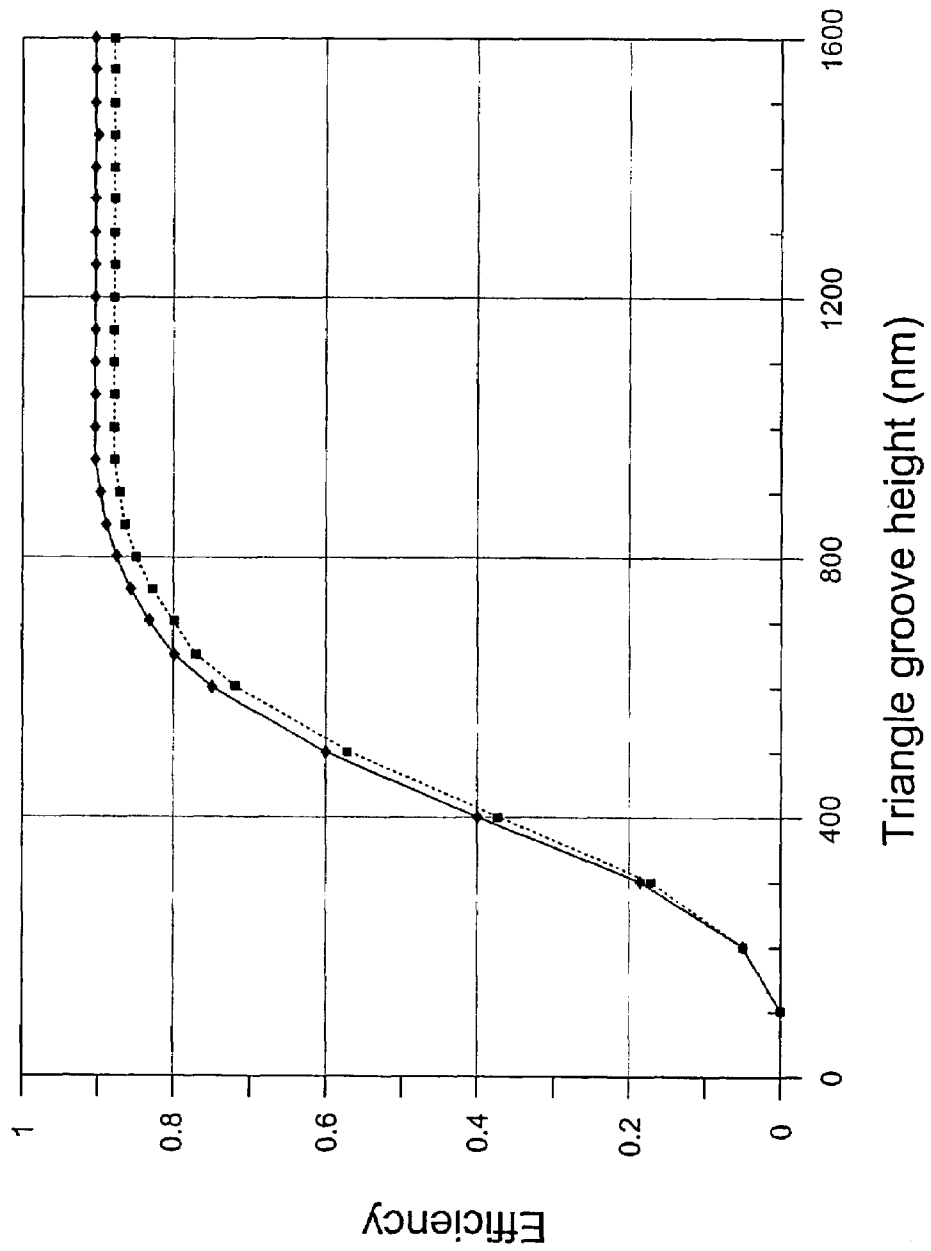
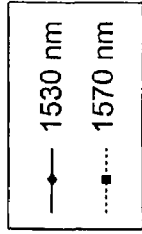


FIG. 7(b)



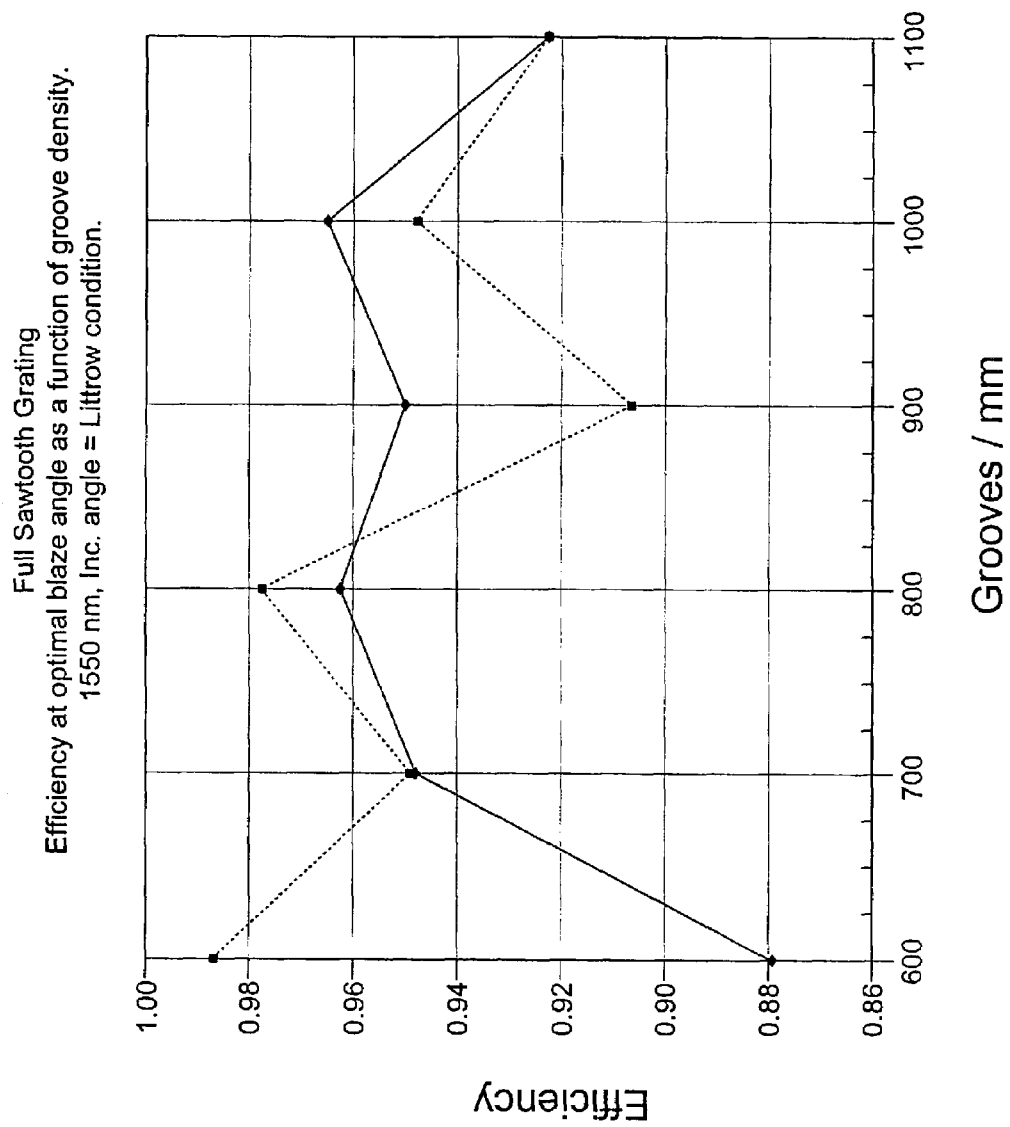


FIG. 8

Full Sawtooth; 900 lines/mm  
Non-90 degree support wall  
Blaze angle = 54 degrees

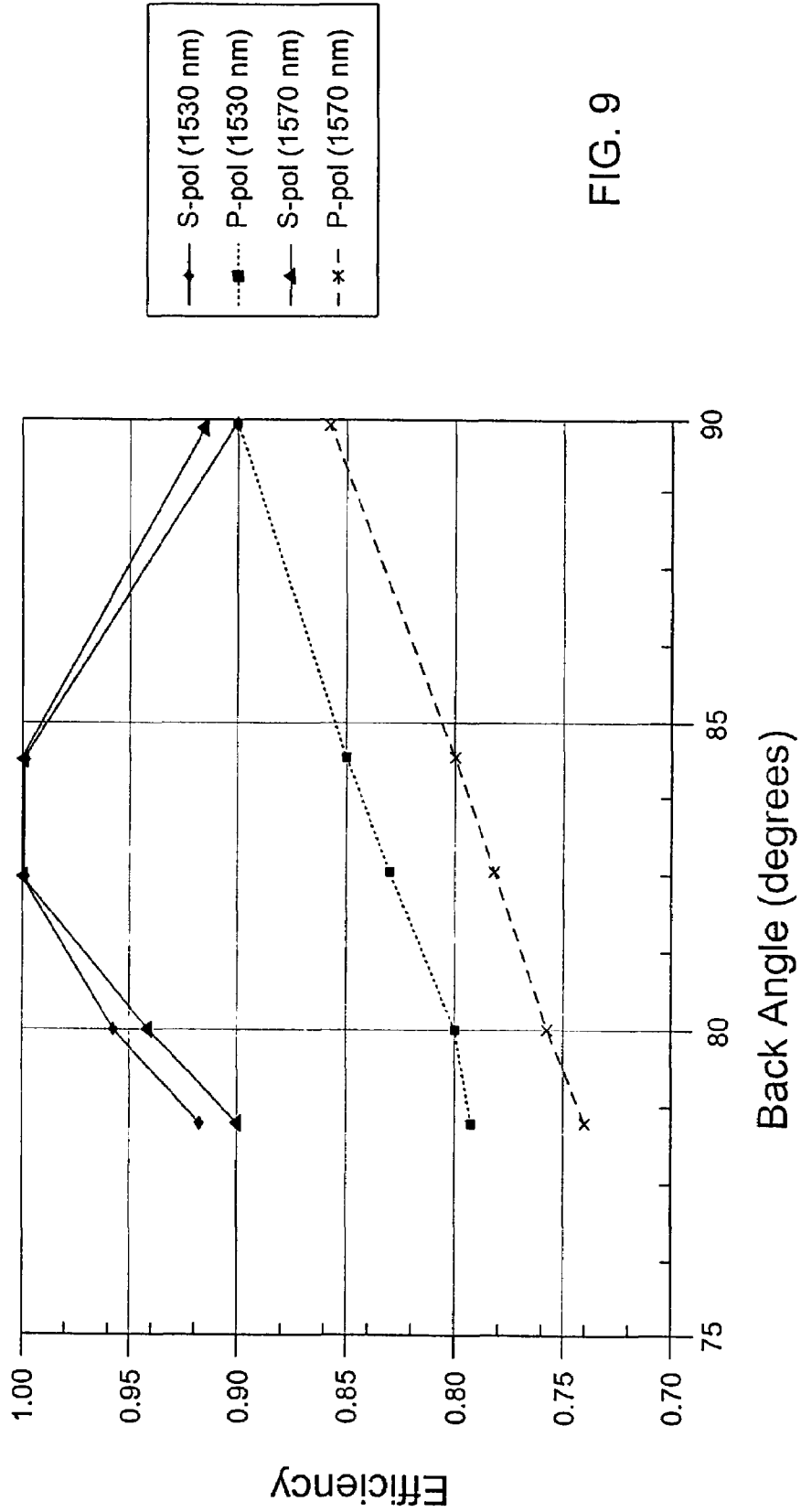


FIG. 9

## DIFFRACTION GRATING WITH REDUCED POLARIZATION-DEPENDENT LOSS

This application is a continuation of U.S. Patent application Ser. No. 09/615,300, entitled "DIFFRACTION GRATING WITH REDUCED POLARIZATION-DEPENDENT LOSS," filed Jul. 13, 2000 now U.S. Pat. No. 6,449,096 by Larry Fabian et al., the entire disclosure of which is herein incorporated by reference.

### BACKGROUND OF THE INVENTION

This application relates generally to a method and apparatus for diffraction light, and more specifically to a diffraction grating useful in various applications, such as optical telecommunications, that require high diffraction efficiency in multiple polarization orientations.

The Internet and data communications are causing an explosion in the global demand for bandwidth. Fiber optic telecommunications systems are currently deploying a relatively new technology called dense wavelength division multiplexing (DWDM) to expand the capacity of new and existing optical fiber systems to help satisfy this demand. In DWDM, multiple wavelengths of light simultaneously transport information through a single optical fiber. Each wavelength operates as an individual channel carrying a stream of data. The carrying capacity of a fiber is multiplied by the number of DWDM channels used. Today, DWDM systems using up to 80 channels are available from multiple manufacturers, with more promised in the future.

Optical wavelength routing functions often use demultiplexing of a light stream into its many individual wavelengths, which are then optically directed along different paths. Subsequently, different wavelength signals may then be multiplexed into a common pathway. Within such routing devices, the optical signals are routed between the common and individual optical pathways by a combination of dispersion and focusing mechanisms. The focusing mechanism forms discrete images of the common pathway in each wavelength of the different optical signals and the dispersion mechanism relatively displaces the images along a focal line by amounts that vary with the signal wavelength.

Both phased arrays and reflective diffraction gratings may be used to perform the dispersing functions. While phased arrays are adequate when the number of channels carrying different wavelength signals is small, reflective diffraction gratings are generally preferable when large numbers of channels are used. However, reflective diffraction gratings tend to exhibit greater polarization sensitivity and since the polarization of optical signals often fluctuates in optical communication systems, this sensitivity may result in large variations in transmission efficiency. Loss of information is possible unless compensating amplification of the signals is used to maintain adequate signal-to-noise ratios. Although polarization sensitivity may generally be mitigated by increasing the grating pitch of the reflective grating, limitations on the desired wavelength dispersion for signals at optical telecommunication wavelengths preclude an increase in grating pitch sufficient to achieve high diffraction efficiency in all polarization directions.

It is thus desirable to provide a diffraction grating that can achieve high diffraction efficiency without significant polarization sensitivity when used at optical telecommunication wavelengths.

### SUMMARY OF THE INVENTION

Embodiments of the present invention provide such a diffraction grating, achieving high diffraction efficiency in all polarization states when used for diffraction of an optical signal at telecommunications wavelengths. The diffraction grating in such embodiments includes a plurality of spaced triangular protrusions on a substrate in which reflective faces are blazed at angles  $\theta_b$  that are substantially different from the Littrow condition.

Thus, in one embodiment of the invention, the diffraction grating is configured to diffract an optical signal of wavelength  $\lambda$ . It has a substrate and a plurality of reflective faces oriented at respective blaze angles  $\theta_b$  spaced along the substrate surface at a grating density  $1/d$ . The blaze angles  $\theta_b$  substantially differ from the Littrow condition  $\sin \theta_b = \lambda/2d$ . Each of these reflective faces is supported by a support wall that is connected with the substrate surface such that the optical signal is reflected essentially only off the reflective faces and not off the support walls. Since the optical signal is reflected off the reflective faces but not the support walls, the diffraction efficiency of certain polarization states is improved.

In particular embodiments, the support walls are connected substantially normal with the surface of the substrate and in other embodiments they are connected at an obtuse angle with the substrate. The blaze angles are preferably within the range  $50^\circ \leq \theta_b \leq 70^\circ$  and more preferably within the range  $50^\circ \leq \theta_b \leq 60^\circ$ . The density at which the reflective faces are spaced along the substrate is preferably between 700 and 1100 faces/mm and more preferably between 800 and 1000 faces/mm.

In a certain embodiment, the reflective faces are equally spaced along the surface of the substrate at density  $1/d$  between 800 and 1000 faces/mm without exposing the surface of the substrate, with each of the blaze angles  $\theta_b$  substantially equal to  $54.0^\circ$ . In another embodiment, the reflective faces are equally spaced along the surface of the substrate at density  $1/d$  between 800 and 1000 faces/mm such that a portion of the surface of the substrate is exposed between each such reflective face, with each of the blaze angles  $\theta_b$  substantially equal to  $55.8^\circ$ . In that embodiment, the support walls preferably have an altitude between 1200 and 1400 nm, more preferably substantially equal to 1310 nm.

### BRIEF DESCRIPTION OF THE DRAWINGS

A further understanding of the nature and advantages of the present invention may be realized by reference to the remaining portions of the specification and the drawings wherein like reference labels are used throughout the several drawings to refer to similar components.

FIG. 1(a) illustrates a right-apex-angle diffraction grating;

FIG. 1(b) illustrates the shape of a diffraction grating according to a full-sawtooth embodiment of the invention;

FIG. 1(c) illustrates the shape of a diffraction grating according to a truncated-sawtooth embodiment of the invention;

FIG. 2 shows results of numerical simulations of diffraction efficiency profiles for a full-sawtooth embodiment in S and P polarization configurations;

FIG. 3 shows results of numerical simulations of diffraction efficiency profiles for a truncated-sawtooth embodiment in S and P polarization configurations;



FIG. 4(a) shows numerical results for the efficiency in S and P polarization configurations for a full-sawtooth grating as a function of blaze angle;

FIG. 4(b) shows numerical results for the efficiency in S and P polarization configurations for a truncated sawtooth grating as a function of blaze angle;

FIG. 5 shows the variation in optimal blaze angle as a function of groove density for a full-sawtooth diffraction grating;

FIG. 6 shows the variation in efficiency in S and P polarization configurations for a full-sawtooth grating as a function of the angle of incidence of the optical signal;

FIG. 7(a) shows results of numerical simulations of diffraction efficiency for a truncated-sawtooth embodiment in an S polarization configuration as a function of triangle groove height;

FIG. 7(b) shows results of numerical simulations of diffraction efficiency for a truncated-sawtooth embodiment in a P polarization configuration as a function of triangle groove height;

FIG. 8 shows the variation in efficiency in S and P polarization configurations for a full-sawtooth grating at optimal blaze angle as a function of groove density; and

FIG. 9 shows the effect on efficiency in S and P polarization configurations of allowing the support walls to connect with the substrate non-normally.

#### DESCRIPTION OF THE SPECIFIC EMBODIMENTS

##### 1. Introduction

The following description sets forth embodiments of a diffraction grating that simultaneously achieves high efficiency in multiple polarization states for a high groove density at optical telecommunications wavelengths. Embodiments of the invention can thus be used with a wavelength router to achieve the goals of optical networking systems.

The general functionality of one such optical wavelength router that can be used with embodiments of the invention is described in detail in the copending, commonly assigned U.S. patent application, filed Nov. 16, 1999 and assigned Ser. No. 09/422,061, entitled "Wavelength Router," which is herein incorporated by reference for all purposes. As described therein, such an optical wavelength router accepts light having a plurality of spectral bands at an input port and selectively directs subsets of the spectral bands to desired ones of a plurality of output ports. Light entering the wavelength router from the input port forms a diverging beam, which includes the different spectral bands. The beam is collimated, such as by a lens, and directed to a diffraction grating that disperses the light so that collimated beams at different wavelengths are directed at different angles. The high efficiency achieved by the diffraction grating in multiple polarization states translates directly into improved efficiency in operation of the wavelength router. Other uses for the diffraction grating where high efficiency is desirable in multiple polarization states will be similarly evident to those of skill in the art.

##### 2. Diffraction of Optical Signals

Demultiplexing of an optical signal that contains a plurality of signals at different wavelengths may be accomplished with a diffraction grating with appropriately sized and shaped diffraction grooves. An example of such a demultiplexing diffraction grating is illustrated in FIG. 1(a).

When illuminated at an angle  $\alpha$  from the normal, the grating **100** directs light with wavelength  $\lambda$  toward angle  $\beta$  in accordance with the formula

$$m\lambda = d(\sin \alpha \pm \sin \beta),$$

where  $m$  is an integral order of interference and  $d$  is the grating period. The manner in which incident light will be distributed among the various orders of interference depends on the shape and orientation of the groove sides and on the relation of wavelength to groove separation. When  $d \leq \lambda$ , diffraction effects predominate in controlling the intensity distribution among orders, but when  $d > \lambda$ , optical reflection from the sides of the grooves is more strongly involved.

Diffraction gratings **100** are manufactured classically with the use of a ruling engine by burnishing grooves with a diamond stylus in a substrate **120** or holographically with the use of interference fringes generated at the intersection of two laser beams. For high dispersion with operational wavelengths in the range 1530–1570 nm, commonly used in optical telecommunications applications, a line density ( $=1/d$ ) between about 700 and 1100 faces/mm is desirable. It is further possible to "blaze" a grating by ruling its grooves to produce multiple reflective faces **112** that reflect a large fraction of the incoming light of suitably short wavelengths in one general direction. In general, a blazed grating has been understood to refer to one in which the grooves of the diffraction grating are controlled so that the reflective faces **112** form one side of right-apex triangles **110**, inclined to the substrate surface with an acute blaze angle  $\theta_b$ . Obtuse apex angles up to  $\sim 110^\circ$  are sometimes present in blazed holographic gratings.

High efficiency is achieved when blazed grating groove profiles are prepared in the Littrow configuration, in which incident and diffracted rays are autocollimated so that  $\alpha = \beta = \theta_b$ . In this Littrow configuration, the diffraction equation for blaze angle  $\theta_b$  thus takes the simple form

$$\sin \theta_b = \frac{m\lambda}{2d}.$$

For a Littrow grating with line density  $1/d \approx 900$  faces/mm, the preferred blaze angle at telecommunications wavelengths is  $\theta_b \approx 44.2^\circ$  (i.e. the blaze wavelength in first order is  $\lambda_b = 2d \sin \theta_b \approx 1550$  nm). With this configuration, however, significant differences are found in the reflection efficiencies for different polarization states. In particular, the diffraction efficiency for an S polarization state (also described as a TM polarization state), in which the electric field is polarized orthogonal to the grating grooves, is  $>90\%$ . Typically, however, there is only 30–50% efficiency for a P polarization state (also described as a TE polarization state), in which the electric field is polarized parallel to the grating grooves. This relatively poor diffraction efficiency for the P polarization state is a consequence of boundary conditions imposed on the electric field as it propagates parallel to the groove edge in the grating.

##### 3. High-Efficiency Polarization-Independent Reflective Diffraction Grating

FIG. 1(b) illustrates a first set of embodiments of the invention (referred to herein as the "full-sawtooth" embodiments), which provide a high diffraction efficiency for optical signals at telecommunications wavelengths in both S and P polarization states. In the specific full-sawtooth embodiment illustrated in FIG. 1(b), the diffraction grating

**150** includes multiple reflective faces **162** formed in a substrate **170**, each inclined at blaze angle  $\theta_b$  to the substrate surface. Each reflective face **162** is supported by a support wall **164** that is connected substantially normal with the substrate **170**. As a result, the diffraction grating **150** has a sawtooth configuration formed in the substrate **170** from multiple right-base triangular protrusions.

In the illustrated embodiment, each of the reflective faces **162** is equally spaced along the surface of the substrate **170**, with each reflective face **162** extending through a full spacing period. The full-sawtooth embodiment is characterized by the absence of exposure of the substrate **170** at the base of the triangles **210** to incident light—the support wall **164** supporting each reflective face **162** is also connected to the adjacent reflective face. In alternative embodiments of the full-sawtooth configuration, the reflective faces **162** are not equally spaced. High reflectivity of the reflective faces **162** is achieved in one embodiment by coating the diffraction grating **150** with gold. In alternative embodiments, different reflective coatings, such as aluminum, are used. Further, although FIG. **1(b)** shows the grating to be configured on a flat substrate **170**, the invention more generally includes the use of curved substrates.

While the grating configuration shown in FIG. **1(a)** with right-apex triangles **110** permits reflection of incident light from the side of the triangle **110** opposite the blaze angle  $\theta_b$ , the full-sawtooth configuration using right-base triangles **160** shown in FIG. **1(b)** substantially restricts reflection to be from the reflective faces **162**. As a result, there is a significant reduction in boundary effects for P-polarized light as the electric field passes the apex of one triangle **160** and reflects off the adjacent reflective face **162**. More generally, the support wall **164** is connected non-normally with the substrate **170**, preferably forming an obtuse angle so that the characteristic of limiting reflection of incident light essentially only off the reflective faces **162** and not off the support walls **164** is maintained (see discussion below with regards to FIG. **9**). The blaze angle  $\theta_b$  at which the reflective faces **162** are inclined to the substrate surface is preferably in the range 50–70°, most preferably in the range 50–60°. At a grating density  $1/d=900$  faces/mm, which is a suitable value for the 1530–1570 nm wavelength used for optical telecommunications signals, this is preferably  $\theta_b=54.0^\circ$ . At this blaze angle, the product of the diffraction efficiencies in the S and P polarization configurations is maximized, as discussed below in the context of FIG. **4(a)**. This blaze angle is essentially different from  $\phi=\sin^{-1}\lambda/2d$  ( $=43.5\text{--}45.0^\circ$ ), the angle of incidence at which the grating efficiency is maximized. Thus, maximal grating efficiency for the invention is achieved substantially away from the Littrow condition.

A second set of embodiments of the invention (referred to herein as the “truncated-sawtooth” embodiments) is illustrated in FIG. **1(c)**. In these embodiments, the steep notch of the full-sawtooth embodiments is eliminated. In the particular truncated-sawtooth embodiment illustrated in FIG. **1(c)**, the diffraction grating **200** includes a plurality of reflective faces **212** each oriented at blaze angle  $\theta_b$  with respect to a surface of the substrate **220**. Each such reflective face **212** is supported by a support wall **214** that is substantially normally connected with the surface of the substrate. Accordingly, the diffraction grating **200** has a configuration that uses multiple right-base triangles **210**, thereby sharing the advantage of the full-sawtooth configuration in which the normal orientation of the support walls **214** mitigates boundary effects for P-polarized light as the electric field of the light passes the apex of one triangle **210** and reflects off an adjacent reflective surface **212**. More generally, the support

walls **214** connect with the substrate **170** non-normally, preferably forming an obtuse angle so that the characteristic of limiting reflection of incident light essentially only off the reflective faces **162** and not off the support walls **164** is maintained.

While the full-sawtooth embodiments had no exposure of the substrate **170** at the base of the triangles **160**, the truncated-sawtooth embodiments permit such exposure. In particular, surface portions **216** of the substrate **220** are exposed. The effect of permitting such exposure allows reduced altitude of right triangles **210**. It is evident, however, that the full-sawtooth configuration is a limiting case of the truncated-sawtooth configuration as the altitude of the triangles is increased. For a grating density  $1/d=900$  faces/mm, this limit is approached with a triangle altitude of approximately 1635 nm.

In one truncated-sawtooth embodiment, illustrated in FIG. **1(c)**, each of the reflective faces **212** is equally spaced along the surface of the substrate **220**. In alternative embodiments such spacing may be irregular. Also, FIG. **1(c)** shows each reflective face **212** extending through substantially half of the spacing period, although other fractions of the spacing period may also be used. In the illustrated embodiment, the blaze angle  $\theta_b$  is also preferably in the range 50–70°, most preferably in the range 50–60°. At optical telecommunications wavelengths, 1530–1570 nm, with a grating density  $1/d=900$  faces/mm, this is preferably  $\theta_b=55.8^\circ$ . Again, this optimal blaze angle corresponds to the angle at which the product of diffraction efficiencies in the S and P polarization configurations is maximized, as discussed in the context of FIG. **4(b)** below. As for the embodiment illustrated in FIG. **1(b)**, the maximal efficiency for the grating is achieved substantially away from Littrow conditions since this blaze angle is essentially different from  $\phi=\sin^{-1}\lambda/2d$  ( $=43.5\text{--}45.0^\circ$ ), the angle of incidence at which the grating efficiency is maximized. Also, as for the first embodiment, the substrate **220** is shown to be flat only for illustrative purposes. More generally, the invention includes the use of a curved substrate.

Additionally, various reflective materials may be used and may be differently applied in various embodiments. For example, in one embodiment, the entire diffraction grating **200** is coated with gold. In alternative embodiments, different reflective coatings, such as aluminum, are used.

#### 4. Diffraction Efficiency of the Specific Embodiments

Various properties of the embodiments described above may be understood by examining the diffraction efficiency achieved by the gratings in various circumstances. The diffraction efficiency of a diffraction grating is generally a function of the wavelength of the optical signal to be diffracted, and is defined as the ratio of the energy of the diffracted wave to the energy of the incident wave:  $\epsilon=E_{out}/E_{in}$ . As a rough approximation, for Littrow gratings the maximum efficiency is expected at the blaze wavelength  $\lambda_b$  with a 50% reduction at  $0.7\lambda_b$  and  $1.8\lambda_b$ . For telecommunications applications the range in wavelengths, 1530–1570 nm (i.e.  $1550\pm 1.3\%$ ), is considerably more narrow so that only relatively small variations in efficiency are expected as a function of wavelength. Furthermore, the efficiency in higher orders is expected to follow the general shape of the first-order efficiency curve, although the maximum efficiency generally decreases for each such higher order.

Accordingly, FIG. **2** shows the results of calculations of a diffraction efficiency profile in both the S and P polarization configurations for the diffraction grating shown in FIG. **1(b)** over a wavelength range of 1530–1570 nm. A similar plot is

produced in FIG. 3 for the diffraction grating shown in FIG. 1(c). To evaluate the level of uncertainty of the results, the calculations were performed with two commercially available software packages: G-Solver (solid lines) and PC-Grate (dashed lines). For the calculations discussed below, PC-Grate and G-Solver have generally agreed within about 3% efficiencies, without any observable trend of one estimating higher efficiencies than the other.

Over the entire optical telecommunications wavelength range, the diffraction efficiency exceeds 85% for both S and P polarizations for both the illustrated full-sawtooth and truncated-sawtooth configurations, with approximately less than a  $\pm 2\%$  variation over the wavelength range for any given polarization. The explicit comparison of the two numerical packages in FIGS. 2 and 3 highlights their close agreement, with differences no greater than about 3%. Considering the wavelength range of interest and both numerical programs, it can be seen that the invention produces a high diffraction efficiency that is substantially independent of polarization. For the particular full-sawtooth embodiment illustrated in FIG. 1(b), that polarization-independent efficiency is  $90\pm 4\%$ . The efficiency is even greater for a truncated-sawtooth embodiment with  $\theta_b=55.8^\circ$  and triangle altitude (height of support wall 214) equal to 1310 nm. In that embodiment, the efficiency is  $94\pm 4\%$ , i.e. greater than 90% everywhere.

In FIG. 4(a) and FIG. 4(b), an illustration is made of how the numerically calculated diffraction efficiency is used to determine the optimal blaze angle. For the full-sawtooth embodiment, for example, FIG. 4(a) shows the variation in efficiency for both the S and P polarization configurations as a function of blaze angle as calculated with the G-Solver package. For these calculations, a grating density  $1/d=900$  faces/mm was used with an angle of incidence for a 1550-nm optical signal of  $\phi=45^\circ$ . As described above, this particular incident angle  $\phi$  is approximately the angle dictated by the Littrow condition. The optimal blaze angle is the angle where the curves for the S and P polarization intersect, i.e. where the product of the two efficiencies is maximized. For the full-sawtooth embodiment, this is seen to occur at  $\theta_b=54.0^\circ$ . Similarly, FIG. 4(b) shows the efficiencies for S and P polarizations for a truncated-sawtooth embodiment with triangles having an altitude equal to 80% of the maximum possible altitude. Again, the calculations were performed for a grating with grating density  $1/d=900$  faces/mm and a 1550-nm optical signal incident at  $\phi=45^\circ$ . For this grating, the curves cross at the optimal blaze angle  $\theta_b=55.8^\circ$ .

Results are summarized in FIG. 5 of the optimal blaze angle  $\theta_b$  for a grating in the full-sawtooth embodiment. The calculations were again performed using the G-Solver package and show how the optimal blaze angle varies as a function of the grating density  $1/d$ . The calculations were performed for an incident optical signal with wavelength  $\lambda=1550$  nm and the incident angle was determined from the Littrow condition for each groove density plotted. The general trend, with the blaze angle increasing monotonically as a function of grating density, is as expected.

The effect of moving the incident angle away from the Littrow condition is illustrated in FIG. 6. The results of calculations for a full-sawtooth grating using the G-Solver package are shown. The diffraction efficiency was calculated in both S and P polarization configurations for an optical signal with wavelength  $\lambda=1550$  nm onto a grating blazed at  $\theta_b=54.0^\circ$  with grating density  $1/d=900$  faces/mm. The preferred incident angle defined by the Littrow condition is  $\phi=44.2^\circ$ . While the S-polarization efficiency varies little around this angle, dipping even slightly, the P-polarization

efficiency shows a clearly defined maximum at this angle such that the total efficiency is maximized at this incident angle. Although not shown, similar results are also obtained when a truncated-sawtooth grating is used with its optimal blaze angle.

The variation in efficiency for the truncated-sawtooth embodiments for the S and P polarization states is shown respectively in FIGS. 7(a) and 7(b) as a function of the height of support wall 214. The illustrated results were calculated for a blaze angle  $\theta_b=55.8^\circ$  for light incident at  $\phi=45^\circ$  with PC-Grate, although similar results are obtained with the G-Solver package. As can be readily seen, the S-polarization efficiency has two local maxima and the P-polarization efficiency exhibits asymptotic behavior. Accordingly, the preferred triangle altitude for the truncated-sawtooth configuration is at the second peak in the S-polarization efficiency, i.e. near 1310 nm.

FIG. 8 shows that the diffraction efficiency of both S and P polarization states is also dependent on the grating density  $1/d$ . The calculations were performed with the G-Solver package for a full-sawtooth grating with optimal blaze angle  $\theta_b$ . The incident optical signal had wavelength  $\lambda$  and was incident at the angle defined by the Littrow condition. To achieve a diffraction efficiency greater than 90% simultaneously for both the S and P polarizations, it is preferable that the grating density  $1/d$  be between 700 and 1100 faces/mm. More preferably, the grating density is between 800 and 1000 faces/mm.

The numerical results presented in FIGS. 2–8 were calculated for diffraction gratings that have the support walls connected with the substrate substantially normally. FIG. 9 shows that when the angle at which the support wall connects with the substrate (the “back angle”), is acute, the efficiency of the P-polarization configuration decreases sharply. The calculations were performed with the G-Solver package for a full-sawtooth grating having a grating density  $1/d$  of 900 faces/mm and a blaze angle  $\theta_b$  of  $54.0^\circ$ . As the figure shows, a decrease of the back angle from  $90^\circ$  results directly in a significant decrease in P-polarization diffraction efficiency. A decrease of the angle by about  $10^\circ$  causes an efficiency decrease of about 10%. While the S-polarization diffraction efficiency shows some improvement in the region between about  $82$  and  $84^\circ$ , it too drops off for more acute angles. If the back angle is obtuse, the diffraction efficiency is expected to be the same as it is for a right back angle since the reduction in boundary effects for P-polarized light is maintained. Accordingly, it is preferred that the back angle be approximately  $\geq 90^\circ$ .

Having described several embodiments, it will be recognized by those of skill in the art that various modifications, alternative constructions, and equivalents may be used without departing from the spirit of the invention. Accordingly, the above description should not be taken as limiting the scope of the invention, which is defined in the following claims.

What is claimed is:

1. A diffraction grating comprising:

a substrate having a plurality of grooves formed in the substrate to define a plurality of reflective faces, each such reflective face being supported by a support wall extending from a base within the substrate and being uniformly inclined with respect to a longitudinal direction,

wherein the base extends parallel to the longitudinal direction to define flat intermediate surface portions of the substrate substantially normal to the support wall and between the reflective faces; and

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- wherein each reflective face comprises a reflective metal coating.
- 2. The diffraction grating recited in claim 1 wherein each reflective face is inclined at a blaze angle between 50° and 70° with respect to the flat intermediate surface portions. 5
- 3. The diffraction grating recited in claim 1 wherein each reflective face is inclined at a blaze angle between 50° and 60° with respect to the flat intermediate surface portions.
- 4. The diffraction grating recited in claim 1 wherein the reflective faces are spaced along the substrate with a grating density 1/d between 700 and 1100 faces/mm. 10
- 5. The diffraction grating recited in claim 1 wherein the reflective faces are spaced along the substrate with a grating density 1/d between 800 and 1000 faces/mm.
- 6. The diffraction grating recited in claim 1 wherein the support wall has an altitude above the flat intermediate surface portions between 1200 and 1400 nm. 15
- 7. The diffracting grating recited in claim 1 wherein the reflective metal coating comprises gold.
- 8. A method for forming a diffraction grating, the method comprising: 20
  - forming a plurality of grooves in a substrate to define a plurality of reflective faces, each such reflective face being supported by a support wall extending from a base within the substrate and being uniformly inclined 25
  - with respect to a longitudinal direction,

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- wherein the base extends parallel to the longitudinal direction to define flat intermediate surface portions of the substrate substantially normal to the support wall and between the reflective faces; and
- wherein each reflective face comprises a reflective metal coating.
- 9. The method recited in claim 8 wherein each reflective face is inclined at a blaze angle between 50° and 70° with respect to the flat intermediate surface portions.
- 10. The method recited in claim 8 wherein each reflective face is inclined at a blaze angle between 50° and 60° with respect to the flat intermediate surface portions.
- 11. The method recited in claim 8 wherein the reflective faces are spaced along the substrate with a grating density 1/d between 700 and 1100 faces/mm.
- 12. The method recited in claim 8 wherein the reflective faces are spaced along the substrate with a grating density 1/d between 800 and 1000 faces/mm.
- 13. The method recited in claim 8 wherein the support wall has an altitude above the flat intermediate surface portions between 1200 and 1400 nm.
- 14. The method recited in claim 8 wherein the reflective metal coating comprises gold.

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